



XII International Summer School dedicated to the 105<sup>th</sup> anniversary of the first  
passenger aircraft

**Computer technologies of engineering mechanical problems**

(LMSU Institute of Mechanics, July 2 – August 10, 2018)

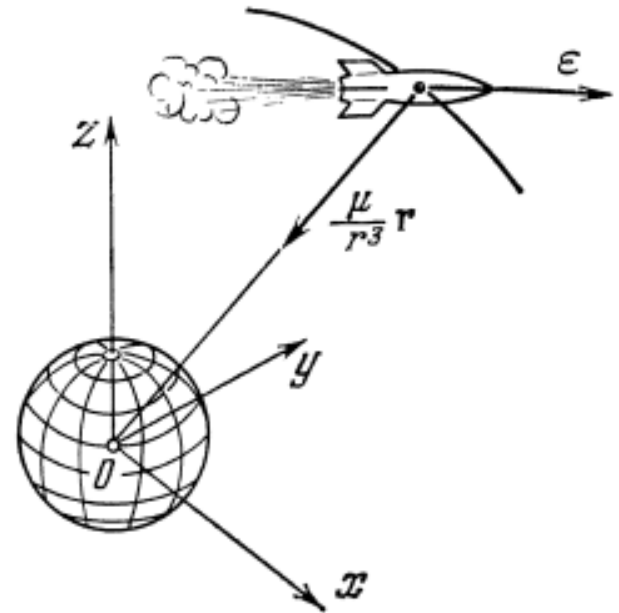
# **Review of direct low-thrust trajectory optimization methods**

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# Content

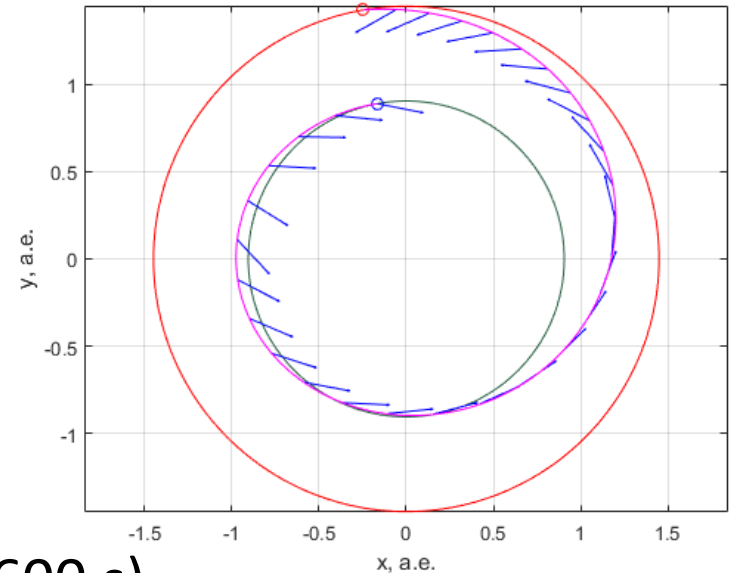
- Problem statement
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# Problem statement

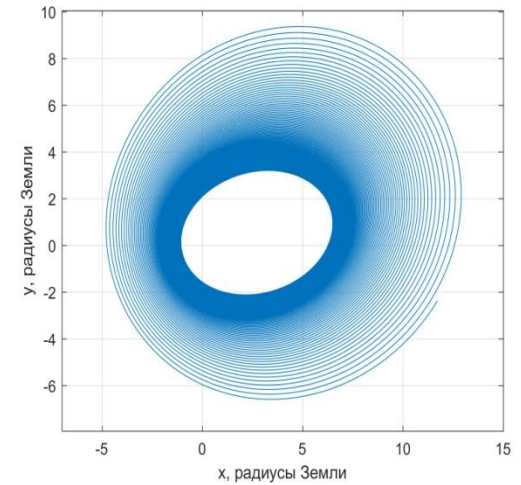
To obtain the fuel optimal Earth-Mars trajectory in the central gravitational field of the Sun

- Low thrust
- Limited thrust acceleration
- Constant exhaust velocity
- Russian engine SPT 100-V (80 mN, 1600 s)
- Ephemeris DE-432
- The mass of the spacecraft is 300 kg
- Time of flight varies from 432 to 711 days

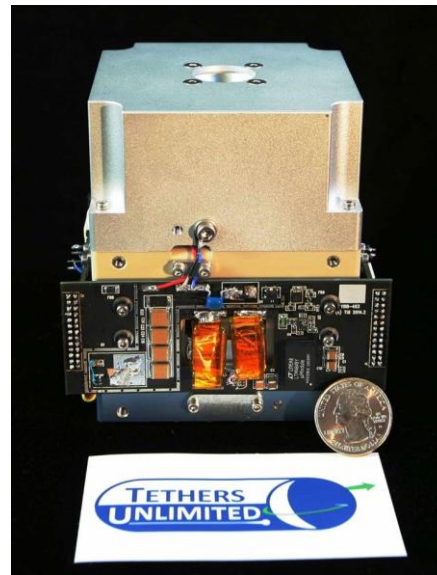


# Low thrust

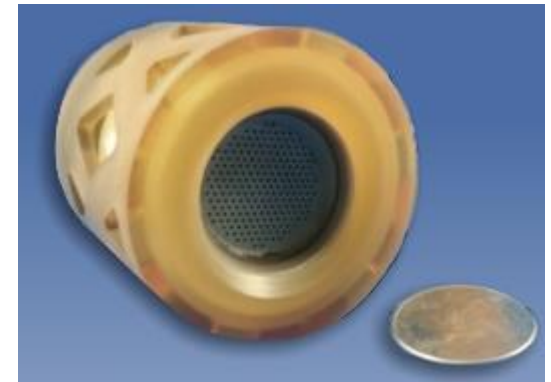
The thrust is small if  $\frac{a_T}{g} < 10^{-4}$



EDB Fakel: SPT-100V



Tethers Unlimited: HYDROS



Busek: BIT-3

# Low-thrust trajectory optimization

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graph TD; A[Low-thrust trajectory optimization] --> B[Indirect]; A --> C[Direct];
```

Indirect

- Necessary optimality conditions (Pontryagin Maximum Principle)
- Two point boundary value problem (TPBVP)

Direct

- Discretization of the control variables
- Nonlinear programming problem (NLP)

# Low-thrust trajectory optimization

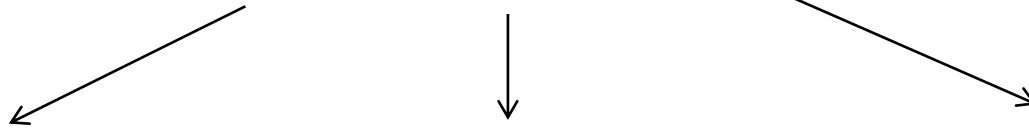
**Indirect methods:** Pontryagin maximum principle

- ✓ The necessary optimality conditions
- ✓ High accuracy
- ✗ The convergence depends strongly on the initial guess
- ✗ The necessary conditions have to be rederived for the perturbed problem
- ✗ Costate variables are not physically intuitive

**Direct methods:** control discretization

- ✓ The solution is close to the optimal
- ✗ Medium accuracy
- ✓ The solution is less sensitive to the initial guess
- ✓ The methods can be easily applied for the disturbed motion
- ✓ Unknown control variables are more physically intuitive

# Direct optimization techniques



- Optimization in terms of thrust acceleration variables

Tang S., Conway B. A.  
1995

- Approximation of the control and state variables by the interpolating polynomials and optimization of the expansion coefficients

Fahroo F., Ross I.M.  
2002

- Optimization in terms of impulse variables

Sims J., Flanagan S.  
1999

# Variables

$\epsilon$

- Thrust acceleration control ( $\epsilon$ )
- Perturbed Keplerian motion on each segment of trajectory

$$\ddot{\mathbf{r}} = -\frac{\mathbf{r}}{r^3} + \epsilon$$

- Search for optimal thrust acceleration control

$\Delta \mathbf{V}$

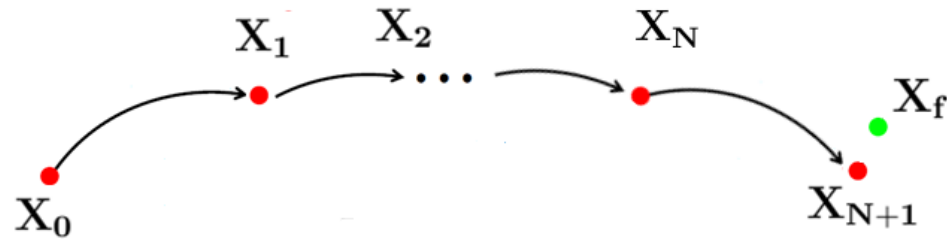
- Thrusting is modeled as a series of impulses ( $\Delta \mathbf{V}$ )
- Keplerian model on each segment of the trajectory

$$\ddot{\mathbf{r}} = -\frac{\mathbf{r}}{r^3}$$

- Search for optimal impulse control
- Transformation of the impulses to the thrust acceleration



# Thrust acceleration control, shooting method



## NLP:

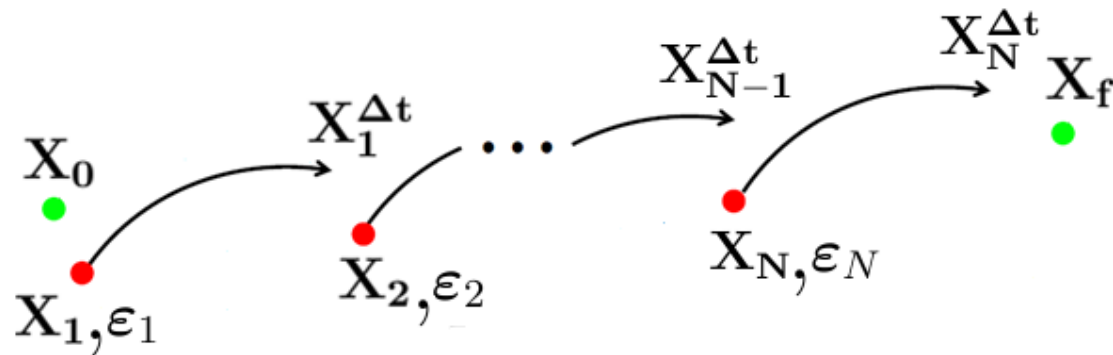
$$\left\{ \begin{array}{l} J = \sum_{i=1}^N |\epsilon_i| \Delta t \longrightarrow \min \\ |\epsilon_i| \leq \epsilon_{max}, i = \overline{1, N} \\ \mathbf{X}_{N+1} - \mathbf{X}_f = \mathbf{0} \end{array} \right. \quad \left| \quad \begin{array}{l} 3N \text{ variables: } \epsilon_1, \epsilon_2, \dots, \epsilon_N \\ N + 6 \text{ constraints} \end{array} \right.$$

where  $\epsilon_{max}$  - the maximum value of the thrust acceleration,

$\mathbf{X}_0, \mathbf{X}_f$  - the phase vectors of the planets,

$\mathbf{X}_{N+1}$  - the phase vector of the spacecraft at the last node

# Thrust acceleration control, multiple shooting method



$$\left\{ \begin{array}{l} J_1 = \sum_{i=1}^N |\epsilon_i| \Delta t \longrightarrow \min \\ |\epsilon_i| \leq \epsilon_{max}, i = \overline{1, N} \\ \mathbf{X}_1 - \mathbf{X}_0 = \mathbf{0} \\ \mathbf{X}_i^{\Delta t} - \mathbf{X}_{i+1} = \mathbf{0}, i = \overline{1, N-1} \\ \mathbf{X}_N^{\Delta t} - \mathbf{X}_f = \mathbf{0} \end{array} \right.$$

$9N$  variables:  $\mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_N$   
 $\epsilon_1, \epsilon_2, \dots, \epsilon_N$

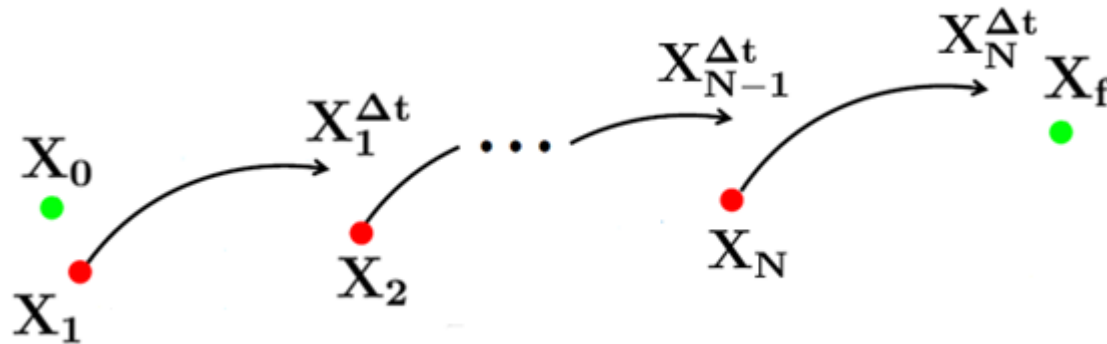
$N + 6(N + 1)$  constraints

where  $\sum_{i=1}^N |\epsilon_i| \Delta t = \Delta V_{\Sigma}$  - the relative velocity consumption,

$\mathbf{X}_i$  - the phase vectors of the spacecraft at the control nodes,

$\mathbf{X}_i^{\Delta t}$  - the phase vectors of the spacecraft after integration of the equations of motion on the  $i^{\text{th}}$  segment

# Impulse control, multiple shooting method



$$\left\{ \begin{array}{l} J_2 = \sum_{i=1}^N |\Delta \mathbf{v}_i| \longrightarrow \min \\ |\Delta \mathbf{v}_i| \leq \Delta v_{max}, i = \overline{1, N} \\ \mathbf{r}_1 - \mathbf{r}_0 = \mathbf{0} \\ \mathbf{r}_i^{\Delta t} - \mathbf{r}_{i+1} = \mathbf{0}, i = \overline{1, N-1} \\ \mathbf{r}_N^{\Delta t} - \mathbf{r}_f = \mathbf{0} \end{array} \right.$$

$6N$  variables:  $\mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_N$

$N + 3(N + 1)$  constraints

where  $\Delta v_i = v_{i+1} - v_i^{\Delta t}$  - the impulse on the  $i^{\text{th}}$  segment,

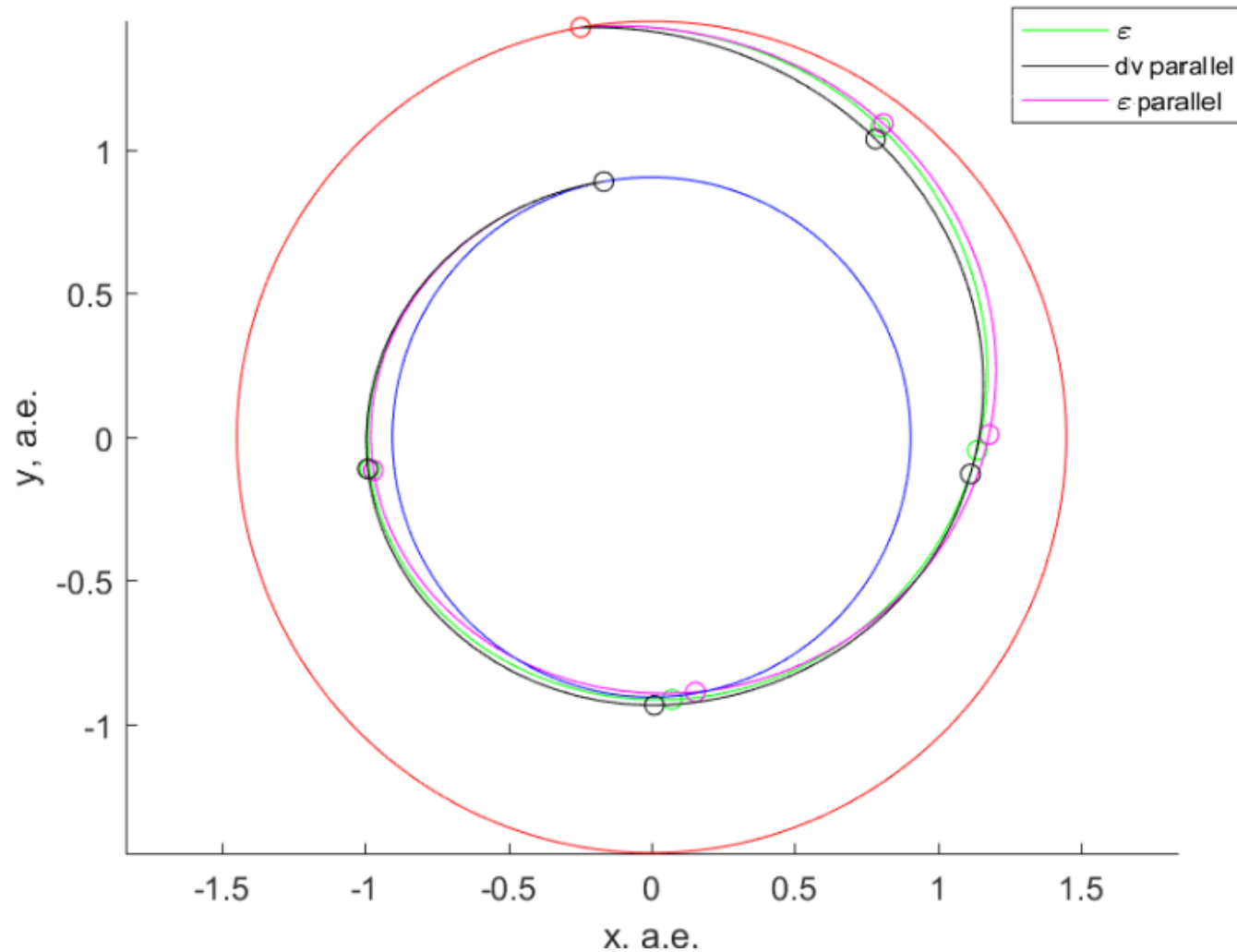
$\sum_{i=1}^N |\Delta \mathbf{v}_i| = \Delta V_{\Sigma}$  - the relative velocity consumption,  $\mathbf{r}_0, \mathbf{r}_f$  - the radius vectors of the planets,

$\mathbf{r}_i$  - the radius vector of the spacecraft at the  $i^{\text{th}}$  control node,

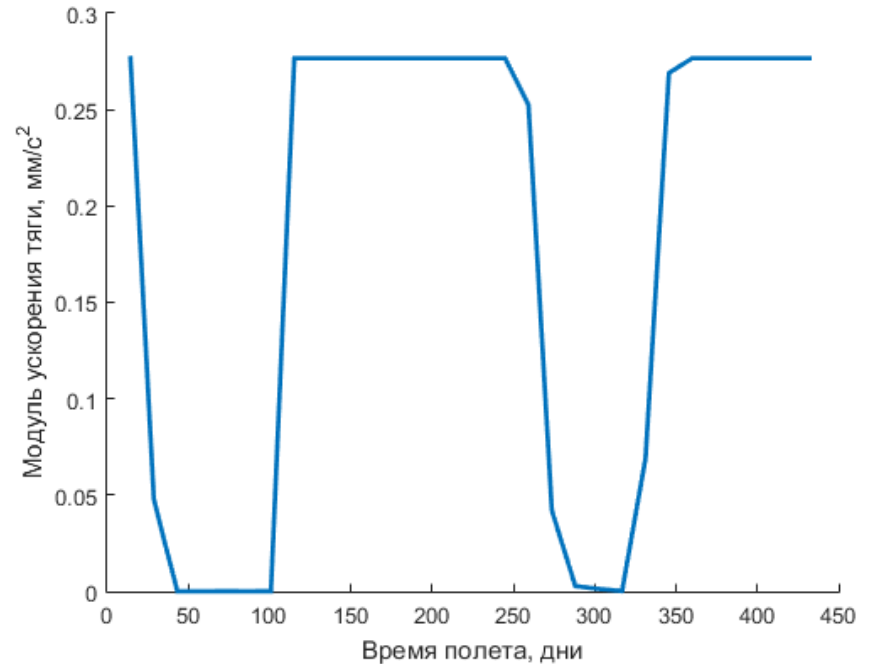
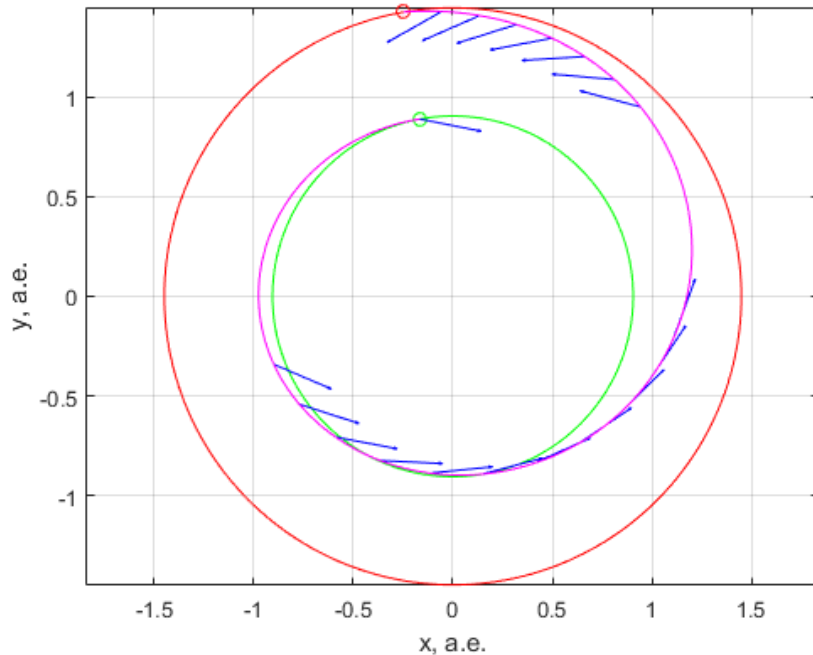
$\Delta v_{max}$  - the maximum value of the impulse

# The optimal Earth-Mars trajectories

(earth launch date: 01.01.2020, time of flight: 432 days)



# Optimal trajectories



**Launch date: 01.01.2020**

**Arrival date: 08.03.2021**

**Time of flight: 432 days**

**Segments per orbit: 30**

**Relative velocity consumption: 6, 73 km/s**

**Relative fuel consumption: 34, 75%**

# Computational comparison of methods

	Convergence region (times when the method converged )	Efficiency (average number of iterations)
Thrust acceleration control, shooting	✗ 239/280 ( 86%)	✓ High (41 iterations)
Thrust acceleration control, multiple shooting	✗ 259/280 ( 93%)	✓ High (55 iterations)
Impulse control, multiple shooting	✓ 280/280 ( 100%)	✗ Medium (97 iterations)

- **Programming language:** MATLAB R2017a
- **Optimization algorithm:** fmincon, SQP (sequential quadratic programming)
- Time of flight varies from 432 to 711 days,  $N = 5$

# Computation time requirements

Thrust acceleration control, shooting	✓ $4.6217 \pm 2.1534$ s
Thrust acceleration control, multiple shooting	✗ $65.8191 \pm 6.2296$ s
Impulse control, multiple shooting	✓ $4.6809 \pm 2.0532$ s

- **Personal computer:** the operating system Windows 7, CPU Intel Core i3 -2377M, frequency 1.5 GHz, RAM 4.0 GB.

# Conclusion

- The two direct low-thrust trajectory optimization methods were compared: the one based on optimization of the thrust acceleration and the one that optimizes the impulses that approximate the thrust arcs.
- The method based on optimization of the thrust acceleration and shooting technique is the most fast, but it has a small convergence region.
- The method that optimizes the impulses has the largest convergence region and acceptable computation time requirements.

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